

01/09/01
JC985 U.S. PTO

01-18-C1

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Express Mail Label No. EL598673360US

Docket No.: END9 1995 0140 US2

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages this Submission:

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled: SYSTEM AND METHOD FOR BUSINESS PROCESS SPACE DEFINITION

and invented by: EDWARD BARNES BODEN, DENNIS GREGORY GEISER, AND FRANK VINCENT PAXHIA

ASSIGNEE NAME: International Business Machines Corporation

ASSIGNEE RESIDENCE: Armonk, New York

If a **CONTINUATION APPLICATION**, check the appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part(CIP) of prior application
No.: 09/046,120 FILED 20 mar 1998.

Enclosed are:

Application Elements

01. ☒ Filing fee as calculated and transmitted as described below
02. ☒ Specification having 63 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (as amended)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure
03. ☒ Drawing(s) when necessary as prescribed by 35 USC 113)
 - a. ☐ Formal
 - b. ☒ Informal

Number of sheets: 8

JC841 U.S. PTO
09/756931
01/09/01

NEW UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages this Submission:3

Application Elements (Continued)

04. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Not executed
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
05. ☒ Incorporation By Reference *(usable if Item 4b is checked)*
- The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of accompanying application and is hereby incorporated by reference therein.
06. ☐ Computer Program in Microfiche *(Appendix)*
07. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

08. ☐ Assignment Papers *(cover sheet & document(s))*
09. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS citation
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Label No: EL598673360US)
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

NEW UTILITY PATENT APPLICATION TRANSMITTAL**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission: 3

Accompanying Application Parts (Continued)

- 16.
- ☐
- Additional Enclosures (identify below)

**Fee Calculation and Transmittal****CLAIMS AS FILED**

For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	27	-20	7	x \$18.00	\$ 126.00
Indep. Claims	15	- 3	12	x \$80.00	\$ 960.00
Multiple Dependent Claims _____					\$
BASIC FEE					\$ 710.00
Other FEE (Specify purpose)					\$
TOTAL FILING FEE					\$ 1,796.00

☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.☒ The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 09-0457 as described below. A duplicate copy of this sheet is enclosed.☒ Charge the amount of \$1,796.00 as filing fee.☒ Credit any overpayment.☒ Charge any additional filing fees as required under 37 C.F.R. 1.16 and 1.17.☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).Dated: 8 Jan 2001

A handwritten signature in cursive script, reading "Shelley M. Beckstrand". Below the signature is the word "signature" printed.

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cc: